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**CENTRAL FAX CENTER****MAR 29 2005****BEST AVAILABLE COPY****FACSIMILE COVER SHEET****FROM:** Glen E. Books, Reg. No. 24,950  
Re: U.S. Appl. No. 09/835,088**Date** March 29, 2005**TEL:** 973-597-2500 **FAX:** 973.597.2400 **E-MAIL:** glbooks@lowenstein.com**TO:** United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450**FACSIMILE NO.:** 703-872-9306  
**VOICE NO.:**Accompanying Parts:

- (1) Summary of Examiner Interview Conducted on March 27, 2005 (3 sheets);
- (2) Interview Summary (1 sheet);
- (3) Request for Continued Examination (1 sheet in duplicate); and
- (4) Reply to Final Office Action (7 sheets).

**TOTAL PAGES (WITH COVER)** 14**CLIENT ID/MATTER #:** Z2285-5-5**If you have any trouble with this transmittal, please call 973.597.2500 x2167**

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Sharon Lincoln  
IP Assistant to Alexander R. Pagano

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*In re* Application of Reding *et al.*

Serial No. 09/835,088

Filing Date: April 13, 2001

Entitled: SYSTEM AND METHOD FOR AUTOMATED  
COMMODITIES TRANSACTIONS INCLUDING AN  
AUTOMATIC HEDGING FUNCTION

Attorney File No.: Z2285-5-5

Art Unit: 3627

Examiner: M. CUFF

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUMMARY OF EXAMINER INTERVIEW CONDUCTED  
MARCH 22, 2005, PURSUANT TO 37 C.F.R. § 1.133**

SIR:

In response to the in-person Examiner Interview conducted on March 22, 2005, at the United States Patent and Trademark Office, Applicant provides this summary pursuant to 37 C.F.R. § 1.133 and MPEP § 713.04. Alexander R. Pagano, Esq., Jason Tatge (Applicant), Examiner Michael A. Cuff, and Supervisory Examiner Robert Olszewski were present. A copy of the Interview Summary prepared by the Examiner is attached hereto for reference.

Z2285 5  
03 29 2005 16092199 02

V. **BRIEF DESCRIPTION OF EXHIBITS AND DEMONSTRATION**

A flow chart was presented outlining the claimed invention.

VI. **CLAIMS DISCUSSED**

Independent claim 1 was discussed.

VII. **THE PRIOR ART DISCUSSED**

The prior art of record was discussed.

VIII. **PRINCIPLE PROPOSED AMENDMENTS OF A SUBSTANTIVE NATURE DISCUSSED, UNLESS THEY ARE ALREADY DESCRIBED ON THE INTERVIEW SUMMARY FORM COMPLETED BY THE EXAMINER**

The principle proposed amendments discussed are those filed herewith in the Reply to Final Rejection.

IX. **BRIEF IDENTIFICATION OF THE GENERAL THRUST OF THE PRINCIPAL ARGUMENTS PRESENTED TO THE EXAMINER**

The principle arguments presented by Applicant during the interview were those presented in the Reply filed on November 29, 2004.

X. **GENERAL INDICATION OF ANY OTHER PERTINENT MATTERS DISCUSSED**

A general indication of any other pertinent matters discussed are set forth in the corresponding Examiner Interview Summary.

XI. **IF APPROPRIATE, THE GENERAL RESULTS OR OUTCOME OF THE INTERVIEW UNLESS ALREADY DESCRIBED IN THE INTERVIEW SUMMARY FORM COMPLETED BY THE EXAMINER**

The results or outcome of the Examiner Interview are already described in the corresponding Examiner Interview Summary.

**BEST AVAILABLE COPY****XII. CONCLUSION**

No fee is required for entry of this Interview Summary. If any fee is due, however, please charge the required fee to deposit account number 501358.

Respectfully submitted,



Alexander R. Pagano, Esq.  
Reg. No. 44,994  
Attorney for Applicant

March 29, 2004

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<b>Interview Summary</b>	Application No. 09/835,088	Applicant(s) REDING ET AL.	
	Examiner Michael Cuff	Art Unit 3627	

All participants (applicant, applicant's representative, PTO personnel):

(1) Michael Cuff

(2) Robert Olszewski

(3) Alexander Pasano, Esq.

(4) Jeffrey TATGE

Date of Interview: 22 March 2005

Type: a) ☐ Telephonic b) ☐ Video Conference  
 c) ☒ Personal (copy given to: 1) ☐ applicant 2) ☒ applicant's representative)

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☐ No.  
 If Yes, brief description: \_\_\_\_\_

Claim(s) discussed: 1+5

Identification of prior art discussed: \_\_\_\_\_

Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: \_\_\_\_\_

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

*Claim language was discussed.*

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Michael Cuff 3/22/05  
 Examiner's signature, if required

U.S. Patent and Trademark Office  
 PTOL-413 (Rev. 04-03)

Interview Summary

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